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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 20

Complete if Known	
Application Number	10/677,633-Conf. #4181
Filing Date	October 2, 2003
First Named Inventor	Jeffrey C. Cerier
Art Unit	3773
Examiner Name	J. W. Woo
Attorney Docket Number	100873-0283 END6430USCIP5

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number Number-Kind Code[2] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-1,343,289	06-15-1920	Suchy	
	AB*	US-2,199,025	04-30-1940	Conn	
	AC*	US-20010049537	12-06-2001	Kortenbach	
	AD*	US-20020010418	01-24-2002	Lary et al.	
	AE*	US-20020173786	11-21-2002	Kortenbach et al.	
	AF*	US-20020193816	12-19-2002	Laufer et al.	
	AG*	US-20020198537	12-26-2002	Smith et al.	
	AH*	US-20020198538	12-26-2002	Kortenbach et al.	
	AI*	US-20020198539	12-26-2002	Sixto et al.	
	AJ*	US-20020198540	12-26-2002	Smith et al.	
	AK*	US-20020198541-A1	12-26-2002	Smith et al.	
	AL*	US-20020198549	12-26-2002	Sixto et al.	
	AM*	US-20030019905	01-30-2003	Adams et al.	
	AN*	US-20030036679	02-20-2003	Kortenbach et al.	
	AO*	US-20030068326	04-10-2003	Gevas et al.	
	AP*	US-20030069280	04-10-2003	Koch et al.	
	AQ*	US-20030069646	04-10-2003	Stinson	
	AR*	US-20030083241	05-01-2003	Young	
	AS*	US-20030086968	05-08-2003	Gray	

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Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3]-Number[4]-Kind Code[5] (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T[6]
	BA	GB-2075829	11-25-1981	Watson & Ewen Ltd		
	BB	JP-61122852	06-10-1986	Univ Karetsuji Rondon		
	BC	JP-1151461	06-14-1989	Koransha Kk		
	BD	WO-8911827	12-14-1989	Nierman David M		
	BE**	EP-0480428	04-15-1992	Wilson Cook Medical Inc		
	BF**	EP-0576265	12-29-1993	Ethicon Inc		

Examiner Signature		Date Considered	

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IDS (Citation) by Applicant (346 References)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Dated: June 12, 2009 Electronic Signature for Christina M. Sperry: /Christina M. Sperry/Reg. No. 47,106

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Sheet 2 of 20

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Application Number	10/677,633-Conf. #4181
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First Named Inventor	Jeffrey C. Cerier
Art Unit	3773
Examiner Name	J. W. Woo
Attorney Docket Number	100873-0283 END6430USCIP5

U.S. PATENT DOCUMENTS

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	AT*	US-20030092699	05-15-2003	Uchida et al.	
	AU*	US-20030130560	07-10-2003	Suzuki et al.	
	AV*	US-20030130561	07-10-2003	Suzuki et al.	
	AW*	US-20030135206	07-17-2003	Edwards et al.	
	AX*	US-20030161887	08-28-2003	Klein	
	AY*	US-20030171645	09-11-2003	Silverman et al.	
	AZ*	US-20030188755	10-09-2003	Milbocker	
	AA1*	US-20030192558	10-16-2003	Durgin	
	AB1*	US-20030192559	10-16-2003	Durgin	
	AC1*	US-20030195387-A1	10-16-2003	Kortenbach et al.	
	AD1*	US-20030195509	10-16-2003	Edwards et al.	
	AE1*	US-20030196670	10-23-2003	Durgin	
	AF1*	US-20030199731	10-23-2003	Silverman et al.	
	AG1*	US-20040006336	01-08-2004	Swanson	
	AH1*	US-20040037887	02-26-2004	Bourne et al.	
	AI1*	US-20040059350-A1	03-25-2004	Gordon et al.	
	AJ1*	US-20040082859	04-29-2004	Schaer	
	AK1*	US-20040082950	04-29-2004	Edwards et al.	
	AL1*	US-3,470,875	10-07-1969	Johnson	

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Examiner Initials*	Cite No.[1]	Foreign Patent Document Country Code[3]-Number[4]-Kind Code[5] (*if known*)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T[6]
	BG	EP-0593920	04-27-1994	United States Surgical Corp		
	BH**	EP-0646356	04-05-1995	Ethicon Inc		√
	BI	EP-0668058	08-23-1995	Novoste Corp		
	BJ	EP-0743044	11-20-1996	Bard Inc C R		
	BK	WO-9803151	01-29-1998	Einhorn Apotheke et al.		
	BL	WO-9900059	01-07-1999	Univ Columbia et al.		

Examiner Signature		Date Considered	

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Sheet 3 of 20

Complete if Known	
Application Number	10/677,633-Conf. #4181
Filing Date	October 2, 2003
First Named Inventor	Jeffrey C. Cerier
Art Unit	3773
Examiner Name	J. W. Woo
Attorney Docket Number	100873-0283 END6430USCIP5

U.S. PATENT DOCUMENTS

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	AM1*	US-3,551,987	01-05-1971		
	AN1*	US-3,638,653	02-01-1972	Berry	
	AO1*	US-3,734,375	05-22-1973	Bone et al.	
	AP1*	US-3,749,085	07-31-1973	Willson et al.	
	AQ1*	US-3,842,840	10-22-1974	Schweizer	
	AR1*	US-3,875,648	04-08-1975	Bone	
	AS1*	US-3,901,244	08-26-1975	Schweizer	
	AT1*	US-3,933,291	01-20-1976	Stephenson	
	AU1*	US-3,946,740	03-30-1976	Bassett	
	AV1*	US-4,006,747	02-08-1977	Kronenthal et al.	
	AW1*	US-4,144,890	03-20-1979	Hess	
	AX1*	US-4,164,225	08-14-1979	Johnson et al.	
	AY1*	US-4,168,703	09-25-1979	Kenigsberg	
	AZ1*	US-4,210,148	07-01-1980	Stivala	
	AA2*	US-4,235,238	11-25-1980	Ogiu et al.	
	AB2*	US-4,448,194	05-15-1984	DiGiovanni et al.	
	AC2*	US-4,471,781	09-18-1984	Di Giovanni et al.	
	AD2*	US-4,500,024	02-19-1985	DiGiovanni et al.	
	AE2*	US-4,573,469	03-04-1986	Golden et al.	

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	BM**	FR-2768324	03-19-1999	Seguin Jacques		
	BN	EP-0975263	02-02-2000	Bard Inc C R et al.		
	BO**	WO-0035529	06-22-2000	Syntheon Llc		
	BP**	WO-0078227	12-28-2000	Ndo Surgical Inc et al.		
	BQ**	WO-0078229	12-28-2000	Ndo Medical Inc et al.		
	BR**	WO-01085034	11-15-2001	Scimed Life Systems Inc et al.		

Examiner Signature		Date Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 20

Complete if Known	
Application Number	10/677,633-Conf. #4181
Filing Date	October 2, 2003
First Named Inventor	Jeffrey C. Cerier
Art Unit	3773
Examiner Name	J. W. Woo
Attorney Docket Number	100873-0283 END6430USCIP5

U.S. PATENT DOCUMENTS

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	AF2*	US-4,586,502	05-06-1986	Bedi et al.	
	AG2*	US-4,591,085	05-27-1986	Di Giovanni	
	AH2*	US-4,635,637	01-13-1987	Schreiber	
	AI2*	US-4,649,938	03-17-1987	McArthur	
	AJ2*	US-4,653,496	03-31-1987	Bundy et al.	
	AK2*	US-4,696,300	09-29-1987	Anderson	
	AL2*	US-4,705,040	11-10-1987	Mueller et al.	
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	AN2*	US-4,736,746	04-12-1988	Anderson	
	AO2*	US-4,753,469	06-28-1988	Hiscott et al.	
	AP2*	US-4,809,695	03-07-1989	Gwathmey et al.	
	AQ2*	US-4,841,888	06-27-1989	Mills et al.	
	AR2*	US-4,862,359	08-29-1989	Trivedi et al.	
	AS2*	US-4,887,612	12-19-1989	Esser et al.	
	AT2*	US-4,890,615	01-02-1990	Caspari et al.	
	AU2*	US-4,935,027	06-19-1990	Yoon	
	AV2*	US-5,015,249	05-14-1991	Nakao et al.	
	AW2*	US-5,037,021	08-06-1991	Mills et al.	
	AX2*	US-5,037,433	08-06-1991	Wilk et al.	

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	BS**	WO-0224080	03-28-2002	Scimed Life Systems Inc et al.		
	BT	WO-0228289	04-11-2002	Scimed Life Systems Inc		
	BU**	WO-0240081	05-23-2002	Syntheon Llc et al.		
	BV**	WO-0245603	06-13-2002	Syntheon Llc		
	BW**	WO-0276541	10-03-2002	Curon Medical Inc		
	BY**	WO-0294341	11-28-2002	Syntheon Llc		

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Sheet 5 of 20

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	AY2*	US-5,076,285	12-31-1991	Hess et al.	
	AZ2*	US-5,080,663	01-14-1992	Mills et al.	
	AA3*	US-5,088,979	02-18-1992	Filipi et al.	
	AB3*	US-5,147,373	09-15-1992	Ferzli	
	AC3*	US-5,230,344	07-27-1993	Ozdamar et al.	
	AD3*	US-5,254,126	10-19-1993	Filipi et al.	
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	AG3*	US-5,309,923	05-10-1994	Leuchter et al.	
	AH3*	US-5,324,325	06-28-1994	Moaddeb	
	AI3*	US-5,331,969	07-26-1994	Silberstein	
	AJ3*	US-5,336,263	08-09-1994	Ersek et al.	
	AK3*	US-5,346,504	09-13-1994	Ortiz et al.	
	AL3*	US-5,350,391	09-27-1994	Iacovelli	
	AM3*	US-5,354,311	10-11-1994	Kambin et al.	
	AN3*	US-5,356,416	10-18-1994	Chu et al.	
	AO3*	US-5,358,508	10-25-1994	Cobb et al.	
	AP3*	US-5,364,408	11-15-1994	Gordon	
	AQ3*	US-5,383,260	01-24-1995	Deschenes et al.	

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	BZ**	WO-03004087	01-16-2003	Syntheon Llc		
	BA1**	WO-03015604	02-27-2003	Syntheon Llc et al.		
	BB1	WO-03030782	04-17-2003	Scimed Life Systems Inc et al.		
	BC1**	WO-03035649	05-01-2003	Pfizer Pharma et al.		
	BD1**	WO-03037256	05-08-2003	Young Charles W		
	BE1	WO-03072196	09-04-2003	Carbon Medical Technologies In		

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	AR3*	US-5,395,367	03-07-1995	Wilk	
	AS3*	US-5,433,721	07-18-1995	Hooven et al.	
	AT3*	US-5,437,266	08-01-1995	McPherson et al.	
	AU3*	US-5,441,507	08-15-1995	Wilk	
	AV3*	US-5,447,512	09-05-1995	Wilson et al.	
	AW3*	US-5,451,406	09-19-1995	Lawin et al.	
	AX3*	US-5,465,894	11-14-1995	Clark et al.	
	AY3*	US-5,470,337	11-28-1995	Moss	
	AZ3*	US-5,486,189	01-23-1996	Mudry et al.	
	AA4*	US-5,496,331	03-05-1996	Xu et al.	
	AB4*	US-5,522,820	06-04-1996	Caspari et al.	
	AC4*	US-5,538,008	07-23-1996	Crowe	
	AD4*	US-5,549,618	08-27-1996	Fleenor et al.	
	AE4*	US-5,558,665	09-24-1996	Kieturakis	
	AF4*	US-5,571,090	11-05-1996	Sherts	
	AG4*	US-5,571,116	11-05-1996	Bolanos et al.	
	AH4*	US-5,573,496	11-12-1996	McPherson et al.	
	AI4*	US-5,624,453	04-29-1997	Ahmed	
	AJ4*	US-5,626,613	05-06-1997	Schmieding	

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	BF1**	WO-03082359	10-09-2003	Scimed Life Systems Inc et al.		
	BG1**	WO-03092498	11-13-2003	Medigus Ltd et al.		
	BH1**	WO-03094800	11-20-2003	Scimed Life Systems Inc		
	BI1**	WO-03096885	11-27-03	ONUX MEDICAL, INC		
	BJ1**	WO-03099376	12-04-2003	Transneuronix Inc et al.		
	BK1**	WO-03105917	12-24-2003	Scimed Life Systems Inc		√

Examiner Signature		Date Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 7 of 20

Complete if Known	
Application Number	10/677,633-Conf. #4181
Filing Date	October 2, 2003
First Named Inventor	Jeffrey C. Cerier
Art Unit	3773
Examiner Name	J. W. Woo
Attorney Docket Number	100873-0283 END6430USCIP5

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number: Number-Kind Code[2] (*if known*)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AK4*	US-5,645,552	07-08-1997	Sherts	
	AL4*	US-5,662,683	09-02-1997	Kay	
	AM4*	US-5,665,096	09-09-1997	Yoon	
	AN4*	US-5,674,230	10-07-1997	Tovey et al.	
	AO4*	US-5,676,674	10-14-1997	Bolanos et al.	
	AP4*	US-5,697,940	12-16-1997	Chu et al.	
	AQ4*	US-5,699,808	12-23-1997	John	
	AR4*	US-5,722,421	03-03-1998	Francese et al.	
	AS4*	US-5,725,524	03-10-1998	Mulier et al.	
	AT4*	US-5,728,109	03-17-1998	Schulze et al.	
	AU4*	US-5,735,861	04-07-1998	Peifer et al.	
	AV4*	US-5,741,280	04-21-1998	Fleenor	
	AW4*	US-5,749,898	05-12-1998	Schulze et al.	
	AX4*	US-5,787,897	08-04-1998	Kieturakis	
	AY4*	US-5,788,138	08-04-1998	Deschenes et al.	
	AZ4*	US-5,792,153	08-11-1998	Swain et al.	
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	AB5*	US-5,794,948	08-18-1998	Schmitt et al.	
	AC5*	US-5,797,927	08-25-1998	Yoon	

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	BL1	WO-04004544	01-15-2004	Foundry Inc		
	BM1	WO-04006990	01-22-2004	Scimed Life Systems Inc		√
	BN1	WO-05086885	09-22-2005	Laufer Michael D et al.		√

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First Named Inventor	Jeffrey C. Cerier
Art Unit	3773
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	AD5*	US-5,810,855	09-22-1998	Rayburn et al.	
	AE5*	US-5,814,054	09-29-1998	Kortenbach et al.	
	AF5*	US-5,826,776	10-27-1998	Schulze et al.	
	AG5*	US-5,846,254	12-08-1998	Schulze et al.	
	AH5*	US-5,853,409	12-29-1998	Swanson et al.	
	AI5*	US-5,855,311	01-05-1999	Hamblin et al.	
	AJ5*	US-5,893,592	04-13-1999	Schulze et al.	
	AK5*	US-5,899,915	05-04-1999	Saadat	
	AL5*	US-5,901,895	05-11-1999	Heaton et al.	
	AM5*	US-5,954,731	09-21-1999	Yoon	
	AN5*	US-5,958,444	09-28-1999	Wallace et al.	
	AO5*	US-5,984,932	11-16-1999	Yoon	
	AP5*	US-5,993,466	11-30-1999	Yoon	
	AQ5*	US-6,009,877	01-04-2000	Edwards	
	AR5*	US-6,051,003	04-18-2000	Chu et al.	
	AS5*	US-6,059,798	05-09-2000	Tolkoff	
	AT5*	US-6,067,990	05-30-2000	Kieturakis	
	AU5*	US-6,083,202	07-04-2000	Smith	
	AV5*	US-6,086,603	07-11-2000	Termin et al.	

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Art Unit	3773
Examiner Name	J. W. Woo
Attorney Docket Number	100873-0283 END6430USCIP5

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	AW5*	US-6,098,629	08-08-2000	Johnson et al.	
	AX5*	US-6,102,887	08-15-2000	Altman	
	AY5*	US-6,113,609	09-05-2000	Adams	
	AZ5*	US-6,129,761	10-10-2000	Hubbell	
	AA6*	US-6,221,084	04-24-2001	Fleenor	
	AB6*	US-6,238,335	05-29-2001	Silverman et al.	
	AC6*	US-6,254,642	07-03-2001	Taylor	
	AD6*	US-6,258,064	07-10-2001	Smith et al.	
	AE6*	US-6,267,285	07-31-2001	Raymond et al.	
	AF6*	US-6,312,437	11-06-2001	Kortenbach	
	AG6*	US-6,312,448	11-06-2001	Bonutti	
	AH6*	US-6,315,184	11-13-2001	Whitman	
	AI6*	US-6,325,503	12-04-2001	McCue, Jr. et al.	
	AJ6*	US-6,352,503	03-05-2002	Matsui et al.	
	AK6*	US-6,387,104	05-14-2002	Pugsley, Jr. et al.	
	AL6*	US-6,443,973	09-03-2002	Whitman	
	AM6*	US-6,454,778	09-24-2002	Kortenbach	
	AN6*	US-6,461,366	10-08-2002	Seguin	
	AO6*	US-6,478,210	11-12-2002	Adams et al.	

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Filing Date	October 2, 2003
First Named Inventor	Jeffrey C. Cerier
Art Unit	3773
Examiner Name	J. W. Woo
Attorney Docket Number	100873-0283 END6430USCIP5

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	AP6*	US-6,494,888	12-17-2002	Laufer et al.	
	AQ6*	US-6,506,196	01-14-2003	Laufer	
	AR6*	US-6,540,789	04-01-2003	Silverman et al.	
	AS6*	US-6,544,291	04-08-2003	Taylor	
	AT6*	US-6,544,503	04-08-2003	Vanderhoff et al.	
	AU6*	US-6,547,776	04-15-2003	Gaiser et al.	
	AV6*	US-6,548,501	04-15-2003	Hakkinen	
	AW6*	US-6,548,518	04-15-2003	Rubin et al.	
	AX6*	US-6,551,328	04-22-2003	Kortenbach	
	AY6*	US-6,552,046	04-22-2003	Druzgala et al.	
	AZ6*	US-6,552,047	04-22-2003	Garvey et al.	
	AA7*	US-6,558,429	05-06-2003	Taylor	
	AB7*	US-6,559,165	05-06-2003	Rubin et al.	
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	AD7*	US-6,562,795	05-13-2003	Ashley et al.	
	AE7*	US-6,569,085-A1	05-27-2003	Kortenbach et al.	
	AF7*	US-6,569,105	05-27-2003	Kortenbach et al.	
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	AH7*	US-6,579,301	06-17-2003	Bales et al.	

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Filing Date	October 2, 2003
First Named Inventor	Jeffrey C. Cerier
Art Unit	3773
Examiner Name	J. W. Woo
Attorney Docket Number	100873-0283 END6430USCIP5

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	AI7*	US-6,589,238	07-08-2003	Edwards et al.	
	AJ7*	US-6,591,137	07-08-2003	Fischell et al.	
	AK7*	US-6,591,838	07-15-2003	Durgin	
	AL7*	US-6,595,909	07-22-2003	Silverman et al.	
	AM7*	US-6,595,910	07-22-2003	Silverman et al.	
	AN7*	US-6,604,004	08-05-2003	Zelickson et al.	
	AO7*	US-6,604,528	08-12-2003	Duncan	
	AP7*	US-6,605,078	08-12-2003	Adams	
	AQ7*	US-6,613,047	09-02-2003	Edwards	
	AR7*	US-6,632,227	10-14-2003	Adams	
	AS7*	US-6,645,201	11-11-2003	Utley et al.	
	AT7*	US-6,660,301	12-09-2003	Vogel et al.	
	AU7*	US-6,666,848	12-23-2003	Stone	
	AV7*	US-6,673,058	01-06-2004	Snow	
	AW7*	US-6,673,070	01-06-2004	Edwards et al.	
	AX7*	US-6,695,764	02-24-2004	Silverman et al.	
	AY7*	US-6,695,866	02-24-2004	Kuehn et al.	
	AZ7*	US-6,699,243	03-02-2004	West et al.	
	AA8*	US-6,712,074	03-30-2004	Edwards et al.	

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	AC8*	US-6,716,233	04-06-2004	Whitman	
	AD8*	US-6,725,866	04-27-2004	Johnson et al.	
	AE8*	US-6,743,240-A1	06-01-2004	Smith et al.	
	AF8*	US-6,773,441	08-10-2004	Laufer et al.	
	AG8*	US-6,790,214	09-14-2004	Kraemer et al.	
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	AJ8*	US-6,808,491	10-26-2004	Kortenbach et al.	
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	AP8*	US-6,846,307-A1	01-25-2005	Whitman et al.	
	AQ8*	US-6,846,308-A1	01-25-2005	Whitman et al.	
	AR8*	US-6,846,309-A1	01-25-2005	Whitman et al.	
	AS8*	US-6,849,071	02-01-2005	Whitman et al.	
	AT8*	US-6,916,332	07-12-2005	Adams	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 14 of 20

Complete if Known	
Application Number	10/677,633-Conf. #4181
Filing Date	October 2, 2003
First Named Inventor	Jeffrey C. Cerier
Art Unit	3773
Examiner Name	J. W. Woo
Attorney Docket Number	100873-0283 END6430USCIP5

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T[2]
	CA	Boerema, M.D., "Hiatus hernia: Repair by right-sided, subhepatic, anterior gastropexy," Surgery, 65:884-893 (1969).	
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	CK	European Search Report mailed September 2, 2004, in EP Application No. 04076389.	
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				Art Unit	3773
(Use as many sheets as necessary)				Examiner Name	J. W. Woo
Sheet	17	of	20	Attorney Docket Number	100873-0283 END6430USCIP5

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First Named Inventor	Jeffrey C. Cerier
Art Unit	3773
Examiner Name	J. W. Woo
Attorney Docket Number	100873-0283 END6430USCIP5

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